



Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	09/811,146
Filing Date	March 15, 2001
First Named Inventor:	Wayne V. Sorin
Art Unit	2874
Examiner Name	Healy, Brian
Attorney Docket Number	5489P046X

Sheet 1 of 2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code ² (if known)					
B.M.H. B.M.H. B.M.H. B.M.H. B.M.H. B.M.H. B.M.H. B.M.H. B.M.H. B.M.H. B.M.H. B.M.H. B.M.H. B.M.H.		US-	4,971,417	11/20/1990	Krinsky et al.	372	6X
		US-	5,805,751	9/8/1998	Kewitsch et al.	385	43
		US-	6,289,699 B1	9/18/2001	Kewitsch et al.	65	406
		US-	6,292,290 B1	9/18/2001	Wan et al.	359	371
		US-	6,539,148 B1	3/25/2003	Kim et al.	385	27
		US-	6,631,224 B1	10/7/2003	Sorin et al.	385	28
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
B.M.H.		KR	10-0265865		06/17/2000	Kim et al.	385	28

Examiner Signature	B. Healy	Date Considered	4/23/04
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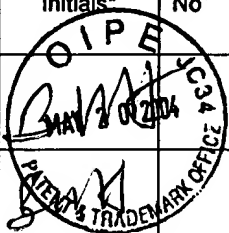
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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			Examiner Name	Healy, Brian	
Sheet	2	of	2	Attorney Docket Number	5489P046X

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		A.M. Vengsarkar, et al., "Long-Period Fiber-Grating-Based Gain Equalizers," Optical Letters, Vol. 21, No. 5, pp. 336-338 (1996).	
		K Sugden, L. Zhang, J. Williams, R.W. Fallon, L.A. Everall, K.E. Chisholm and I. Bennion, "Fabrication and characterization of bandpass filters based on concatenated chirped fiber gratings," Journal of Lightwave Technology, Vol. 15, No. 8, pp. 1424-1432 (August 1997)	

Examiner Signature	<i>B Healy</i>	Date Considered	11/28/04
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